

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. P114027

First Inventor or Application Identifier Harold Spanski

Title POWER-ASSIST SYSTEM AND METHOD FOR BICYCLES

Express Mail Label No. EL 740999712 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington DC 20211

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/117)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 36]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]

4. Oath or Declaration [Total Pages 46]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)

I ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- * Small Entity
13. ☒ Statement(s) ☐ Statement filed in prior application,
(PTO/SB/09-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☒ Other: Transmittal Letter w/ Express
Cert. of Mailing, Non-publication
Request Form

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application in formation: Examiner _____

Group I Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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Registration No. (Attorney/Agent) 19,304

Signature Robert Hughes

Date December 28, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2000 <i>Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</i>		Complete if Known		
		Application Number		
		Filing Date	December 28, 2001	
		First Named Inventor	Harold Spanski	
		Examiner Name		
		Group / Art Unit		
TOTAL AMOUNT OF PAYMENT		\$ 412.00	Attorney Docket No.	P114027

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																											
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any overpayment to the account stated below. Deposit Account Number: 08-3260 Deposit Account Name: <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. ADDITIONAL FEES <table border="1"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code (\$)</th><th>Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td></td><td></td><td>105 130 205 65</td><td></td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td></td><td></td><td>127 50 227 25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td></td><td></td><td>139 130 139 130</td><td></td><td>Non-English specification</td><td></td></tr><tr><td></td><td></td><td>147 2,520 147 2,520</td><td></td><td>For filing a request for reexamination</td><td></td></tr><tr><td></td><td></td><td>112 920* 112 920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td></td><td></td><td>113 1,840* 113 1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td></td><td></td><td>115 110 215 55</td><td></td><td>Extension for reply within first month</td><td></td></tr><tr><td></td><td></td><td>116 380 216 190</td><td></td><td>Extension for reply within second month</td><td></td></tr><tr><td></td><td></td><td>117 870 217 435</td><td></td><td>Extension for reply within third month</td><td></td></tr><tr><td></td><td></td><td>118 1,360 218 680</td><td></td><td>Extension for reply within fourth month</td><td></td></tr><tr><td></td><td></td><td>128 1,850 228 925</td><td></td><td>Extension for reply within fifth month</td><td></td></tr><tr><td></td><td></td><td>119 300 219 150</td><td></td><td>Notice of Appeal</td><td></td></tr><tr><td></td><td></td><td>120 300 220 150</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td></td><td></td><td>121 260 221 130</td><td></td><td>Request for oral hearing</td><td></td></tr><tr><td></td><td></td><td>138 1,510 138 1,510</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td></td><td></td><td>140 110 240 55</td><td></td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td></td><td></td><td>141 1,210 241 605</td><td></td><td>Petition to revive - unintentional</td><td></td></tr><tr><td></td><td></td><td>142 1,210 242 605</td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td></td><td></td><td>143 430 243 215</td><td></td><td>Design issue fee</td><td></td></tr><tr><td></td><td></td><td>144 580 244 290</td><td></td><td>Plant issue fee</td><td></td></tr><tr><td></td><td></td><td>122 130 122 130</td><td></td><td>Petitions to the Commissioner</td><td></td></tr><tr><td></td><td></td><td>123 50 123 50</td><td></td><td>Petitions related to provisional applications</td><td></td></tr><tr><td></td><td></td><td>126 240 126 240</td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td></td><td></td><td>581 40 581 40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td></td><td></td><td>146 760 246 380</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td></td><td></td><td>149 760 249 380</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td></td><td></td><td colspan="3">Other fee (specify) _____</td><td></td></tr><tr><td></td><td></td><td colspan="3">Other fee (specify) _____</td><td></td></tr><tr><td></td><td></td><td colspan="3">Reduced by Basic Filing Fee Paid</td><td></td></tr><tr><td></td><td></td><td colspan="3">SUBTOTAL (3)</td><td>(\$) 0.00</td></tr></tbody></table>		Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid			105 130 205 65		Surcharge - 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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Robert B. Hughes	Registration No. (Attorney/Agent)	19,304
Signature	<i>Robert B. Hughes</i>	Telephone	(360) 647-1296
		Date	December 28, 2001

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PATENT, TRADEMARK
& COPYRIGHT LAW

Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

December 28, 2001
Docket No. P114027

Sir:

Transmitted herewith for filing is a Patent application:

Applicant(s): Mr. Harold Spanski
Title: POWER-ASSIST SYSTEM AND METHOD FOR BICYCLES

Application is claiming priority benefit under 35 U.S.C. §119(e) of U.S. Serial Number(s) and Filing Date(s):

<u>Serial Number</u>	<u>Filing Date</u>
60/258,935	12/29/00

1. Enclosed are:

- Certificate of Express Mailing requesting treatment under 37 CFR 1.10, Express Mail Filing Date of December 28, 2001, Label No. EL 740999712 US;
- 1-page Utility Patent Application Transmittal;
- 1-page Fee Transmittal;
- 2-page Executed Combined Declaration and Power of Attorney;
- ~~e) Non-Publication Request Form PTO/SB/35;~~
- IDS PTO/SB/08A w/ Cited References;
- Specification, Claims and Abstract (36 pages total: 27 pages of specification, 8 pages of claims, 1 page of abstract);
- 10 sheets of Informal Drawings;
- A check in the amount of \$ 412.00 for Filing Fee and extra claim fee; and
- A stamped return receipt postcard.

EXPRESS MAIL CERTIFICATE OF MAILING

37 C.F.R. §1.10

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service, Express Mail Post Office to Addressee, in an envelope addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231, on the date shown below.

Express Mail No: EL 740999712 US

Signature: 

Print Name: Robin Fry
DATE MAILED: December 28, 2001